

Seasonal variations of seismic velocities in the San Jacinto fault area observed with ambient seismic noise

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SUMMARY

We observe seasonal seismic wave speed changes (dv/v) in the San Jacinto fault area and investigate several likely source mechanisms. Velocity variations are obtained from analysis of 6 yr data of vertical component seismic noise recorded by 10 surface and six borehole stations. We study the interrelation between dv/v records, frequency-dependent seismic noise properties, and nearby environmental data of wind speed, rain, ground water level, barometric pressure and atmospheric temperature. The results indicate peak-to-peak seasonal velocity variations of ~ 0.2 per cent in the 0.5–2 Hz frequency range, likely associated with genuine changes of rock properties rather than changes in the noise field. Phase measurements between dv/v and the various environmental data imply that the dominant source mechanism in the arid study area is thermoelastic strain induced by atmospheric temperature variations. The other considered environmental effects produce secondary variations that are superimposed on the thermal-based changes. More detailed work with longer data on the response of rocks to various known external loadings can help tracking the evolving stress and effective rheology at depth.

Key words: Time-series analysis; Interferometry; Elasticity and anelasticity.

1 INTRODUCTION

Crustal rocks are subjected to a variety of loadings associated with atmospheric forcing terms, such as variations of pressure and temperature, precipitation and wind. These sources can induce various responses in the solid earth including triggered seismicity (Husen *et al.* 2007; Bettinelli *et al.* 2008; Liu *et al.* 2009; Helmstetter & Garambois 2010; Hainzl *et al.* 2013), changes of seismic velocities (Sens-Schönfelder & Wegler 2006; Meier *et al.* 2010; Hobiger *et al.* 2012; Hillers *et al.* 2014) and subsurface strain (Prawirodirdjo *et al.* 2006; Ben-Zion & Allam 2013). Monitoring the response of rocks to these and other external sources (e.g. tides) can provide important tools for tracking the evolving stress and effective rheology at depth. Quantitative understanding of the crustal response to external loadings is also important for designing effective filters for removing these signals and obtaining records that better reflect internal tectonic sources.

In this study, we present results on seasonal variations of subsurface seismic velocities (dv/v) near the San Jacinto fault zone in southern California, based on analyses of 6 yr data of the ambient seismic noise recorded at the surface and shallow borehole sites. Focusing on resolving robust dv/v signals in different frequency ranges between 0.1 and 8 Hz, the most consistent results are obtained in the 0.5–2 Hz frequency band. The peak-to-peak amplitude of the annual dv/v changes in this range

is 0.2 per cent. These dv/v variations are compared with nearby environmental data of wind speed, rain, ground water level, barometric pressure and atmospheric temperature to clarify the cause(s) of the observed velocity changes.

The various seasonal atmospheric signals are generally characterized by frequencies that follow the global weather pattern, but exhibit a wide range of amplitudes and phases that depend on the regional setting and specific locations. Seasonal dv/v variations observed with noise-based techniques are generally associated with the annual rainfall pattern (Sens-Schönfelder & Wegler 2006; Hillers *et al.* 2014, 2015b; Obermann *et al.* 2014) and annual temperature variations (Meier *et al.* 2010; Richter *et al.* 2014). Changes of seismic velocities can be biased or masked by variations in the properties of the ambient noise field (Froment *et al.* 2010; Hobiger *et al.* 2012; Zhan *et al.* 2013; Colombi *et al.* 2014), which is similarly governed by atmosphere-crust interactions and exhibit seasonal changes over a wide range of frequencies (Stehly *et al.* 2006; Tanimoto *et al.* 2006; Gerstoft & Tanimoto 2007; Landès *et al.* 2010; Hillers & Ben-Zion 2011; Hillers *et al.* 2012b). The properties of seismic noise below and above ~ 1 Hz change with the oceanic excitation pattern, wind speed (Withers *et al.* 1996; Young *et al.* 1996) and run-off (Burtin *et al.* 2008) variations. Hillers & Ben-Zion (2011) documented seasonal noise amplitude variations between 1 and 20 Hz in the study area, and identified wind speed and temperature changes as likely mechanisms for these modulations.

To discriminate between possible sources that may induce changes in the rock properties from potentially biasing effects associated with variable properties of the ambient seismic noise, we investigate the interrelation between dv/v signals derived with two different methods ('stretching' and 'doublet'), frequency dependent seismic noise properties, and the various mentioned environmental data. The results indicate that the observed seasonal velocity variations in the 0.5–2 Hz frequency range are associated with changes in the seismic velocity structure rather than changes in the noise field. A key observation is the temporal variability in the relation between the considered environmental driving mechanisms and the velocity changes. We find that the most likely source mechanism is thermoelastic strain induced by atmospheric temperature variations. The other considered environmental effects in the arid study area produce secondary variations that are superimposed on the thermal-based changes.

2 DATA AND METHODS

We use 6 yr (2009–2014) of vertical component data recorded by 16 stations located within a distance of ~ 20 km from the trifurcation area of the San Jacinto fault near Anza (Fig. 1a). Ten surface stations are equipped with broad-band instruments, and additional six short-period seismometers are located in boreholes of the EarthScope/UNAVCO Plate Boundary Observatory between depths of 120 and 230 m.

We compute daily broad-band noise correlation or coherency functions, using a preprocessing procedure similar to Poli *et al.* (2012) and Zigone *et al.* (2015). The preprocessing consists of removal of large amplitude transients, whitening in a broad frequency band between 10 s and 10 Hz, and amplitude clipping. We experiment with different lengths of preprocessing windows (1–4 hr), which influence the detection capability of transients based on amplitude statistics (Poli *et al.* 2012). We also test the difference between 1-bit clipping and truncating the amplitude at three times the standard deviation in each window. We find that 1 hr 1-bit yields the best results considering the reduction in the number of outliers and the optimization of average correlation coda waveform similar-

ity. The strongest effect on data quality is the seismicity associated with the 2010 April 4 $M_w 7.2$ El Mayor-Cucapah earthquake that occurred some 130 km southeast of the study area (Hauksson *et al.* 2011; Wei *et al.* 2011). The decaying aftershock activity controls the recovery of the fraction of used correlations. Data quality is best in 2011 and 2012 (Fig. 1b).

Low data quality time segments that passed the transient detection test are removed considering the maximum absolute amplitude distribution of all (up to 2191) daily correlation functions per station pair. Outliers are identified using the median absolute deviation from the median (MAD, Shelly *et al.* 2007). Correlations associated with maximum amplitudes 3.5 times larger than MAD or three times smaller than MAD are discarded. For each station pair a reference signal is constructed that consists of a stack of all remaining noise correlations. At each date, correlations from a time interval of $\pm w$ days are stacked to increase the signal-to-noise ratio (SNR) of the daily correlation, which leads to improved coda waveform similarity and thus improved estimates of velocity changes. Throughout this study we discuss results obtained using $w = 10$ d, but we verified that smaller choices ($w = 2$ d) do not influence our conclusions.

The precision of traveltime changes of primary arrivals obtained from correlations is limited in practice because the required stationarity and isotropy of the ambient wavefield are only approximately met (Weaver *et al.* 2009). For this reason, dv/v estimates are extracted from the coda of the correlation functions, an approach first applied to earthquake coda (Poupinet *et al.* 1984). This increases the sensitivity of noise-based tools because coda waves are less sensitive to fluctuations in the excitation mechanism (Colombi *et al.* 2014), and the phase delay accumulates linearly with increasing travel path in the case of homogeneous medium changes (Poupinet *et al.* 1984; Snieder *et al.* 2002). We estimate relative velocity changes from analysis of systematic arrival time changes between consecutive daily correlations and the reference stack in five different frequency bands, 0.1–0.4, 0.25–1, 0.5–2, 1–4 and 2–8 Hz. To increase the reliability of results, this is done using both the 'stretching' time domain technique (Lobkis & Weaver 2003; Wegler & Sens-Schönfelder 2007) and the 'doublet' frequency domain method (Poupinet *et al.* 1984; Ratdomopurbo & Poupinet 1995; Brenguier *et al.* 2008). We also apply curvelet denoising filters (Stehly *et al.* 2011) to the

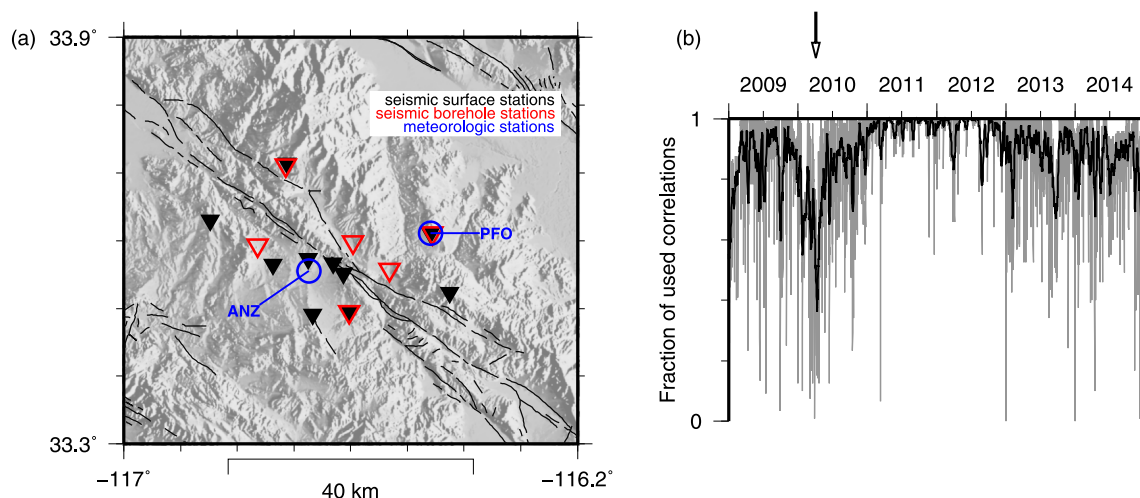


Figure 1. (a) Shaded relief map of the study area. Black (red) triangles indicate locations of surface (borehole) stations. Environmental records are collected at the sites indicated by the blue circles. Black lines are mapped fault traces. PFO, Piñon flats observatory; ANZ, meteorological station at Anza. (b) Daily evolution of the fraction of used correlations (0.1–2 Hz) obtained with the best preprocessing scheme (grey data). Black data show a 11-d running average. The arrow indicates the occurrence of the 2010 April 4 $M_w 7.2$ El Mayor-Cucapah earthquake.

correlation matrices before performing the doublet measurements. This filtering can further improve dv/v estimates above the noise level by suppressing transient wavefield fluctuations.

For the stretching technique, the waveform under investigation is positively or negatively dilated to find the best similarity (highest correlation coefficient, cc) with the reference waveform. The optimal coefficient of dilation is an estimate of the velocity change. Estimating dv/v with the doublet or moving window cross spectral technique (e.g. Clarke *et al.* 2011) consists of two regressions: First, the delay time $d\tau$ is estimated from the cross spectral phase shift along the frequencies of interest for multiple time windows centred at consecutive lapse times τ . Secondly, the trend of the resulting $d\tau$ estimates as a function of τ yields the relative change $d\tau/\tau = -dv/v$. Errors are computed using the estimates of Weaver *et al.* (2009) and Clarke *et al.* (2011). A consistency of results obtained with the two techniques is indicative of robust estimates, because they perform differently in the presence of fluctuations (Hadziioannou *et al.* 2009; Zhan *et al.* 2013; Hillers *et al.* 2015a,b). Estimating the velocity change in later coda lapse time windows is another possibility to assess the reliability of early-coda high-SNR measurements. This is because the error introduced by potentially changing excitation patterns is sufficiently small after about two times the scattering mean free time (Colombi *et al.* 2014). We measure velocity variations in multiple 12 s long lapse time windows, 18–30, 24–36, 30–42 and 36–48 s. Note that the longest period in each frequency band controls the size of overlapping moving windows in each lapse time analysis window (Clarke *et al.* 2011); the 0.1–0.4 Hz analysis is therefore on the edge of feasibility.

To estimate the temporal relation and to assess the potential causality between any two records, we measure the delay between L days long time windows from the various records. We refrain from using delays obtained with cross-correlation; this method works well for clean periodic signals, but is less robust when measuring the delay between some of the meteorological data (e.g. pressure) and our dv/v time-series. Instead, we compute the Fourier transform FT of two tapered signals s_A and s_B , estimate the complex cross-spectrum $C_{A,B} = FT(s_A) \cdot FT(s_B)^*$, where the asterisk denotes complex conjugation, and use the phase at the frequency at which $|C_{A,B}|$ is maximum. The phase in the range $[-\pi, \pi]$ is then converted to days. We confirmed this methodology comparing the results to alternative delay estimators, including the cross-correlation technique. This is repeated for $2191 - L$ consecutive, $L - 1$ overlapping windows, leading to delay estimates as a function of time associated with the centre of the L days long analysis windows. We use $L = 730$ d and $L = 1095$ d, that is 2- and 3-yr long windows. In all cases considered, the period at which $|C_{A,B}|$ is maximum is 1 yr, which highlights the focus on seasonal effects.

3 OBSERVED VELOCITY CHANGES

Fig. 2 presents dv/v time-series as a function of frequency obtained with both analysis techniques. For the stretching technique, we measure the correlation coefficient cc between the reference waveform and dilated test waveforms. For the doublet technique, the similarity (coherency) estimate (Clarke *et al.* 2011) is associated with the two original waveforms that have not been corrected for the potential traveltimes change, and is therefore lower. For each station pair we only consider dv/v measurements for which the similarity estimate is larger than the 6 yr mean minus half a standard deviation. This is an empirical threshold found to improve

the SNR of the targeted seasonal dv/v fluctuations. It mitigates the trade-off between neglecting low-quality data and maintaining a large number of measurements for averaging. Compared to a fixed threshold, it further allows an assessment of the frequency and lapse time dependent coda waveform similarity. Considering the average- cc for each station, we find no consistently problematic sites or sensors or otherwise distinct spatial patterns. There exist potentially systematic relations between low/high cc measurements and other properties of the station configuration, but a detailed study of such interrelations is not essential for the main purpose of this paper.

A significant dv/v seasonal signal is found for all frequencies below 2 Hz (Fig. 2). Significance is implied by the high consistency of results obtained with the time- and frequency-domain analyses. Seasonal peak-to-peak amplitudes tend to decrease with frequency, ranging from 0.4–0.8 per cent at 0.1–0.4 Hz (Fig. 2a) over 0.2 per cent around 1 Hz (Figs 2b and c) to 0.1 per cent at 1–4 Hz (Fig. 2d). In addition, long-term trends are superimposed on the seasonal variations. For the results obtained between 0.25 and 2 Hz a negative and positive long-term trend is observed for 2009 and 2010, and between 2011 and 2014, respectively, while the 1–4 Hz results show an overall negative trend. The cause for these linear trends is unclear, but the increasing velocities may be associated with an overall decline of the water table due to anthropogenic ground water depletion in the study area (D. Agnew; pers. commun.). High frequency 2–8 Hz results do not show a seasonal trend, but an overall decaying trend that is interrupted by a positive step in 2011. The onset of this step coincides with the notch in the dv/v peak (August 2011) found in all three frequency bands between 0.25 and 4 Hz. We do not investigate the nature of this feature and focus on longer timescales associated with seasonal responses.

Zhan *et al.* (2013) noticed the possible sensitivity of the stretching method to seasonal variations in the noise amplitude spectrum. This casts doubt on the associated low-frequency signal in Fig. 2(a), since the largest amplitude variability is found in the microseisms band 0.1–0.4 Hz as shown below. The propagation pattern is anisotropic but stable (Hillers *et al.* 2013) and should therefore not bias the doublet estimates (Hadziioannou *et al.* 2009; Colombi *et al.* 2014). To assess the significance of the low-frequency signal in Fig. 2(a), we consider the lapse time dependence of the seasonal variations (Fig. 3). A signal reflects medium changes rather than changes in the excitation pattern or noise properties if it remains stable after a few scattering events. Therefore, the disappearance of the low-frequency doublet signal (blue data in left column in Fig. 3) with increasing lapse time suggests a fading excitation effect. On the other hand, curvelet-filter enhanced doublet estimates (Ibid., red data) still indicate dv/v seasonality at late lapse times (36–48 s). Significantly, the 0.5–2 Hz signals show a very high consistency for all lapse times, and for results based on raw and curvelet-filtered correlation functions. This consistency indicates a high improbability that the estimated velocity changes in that frequency range are caused by variations of ambient wavefield properties. We support this inference with more data in Section 4. Average similarity (cc) estimates of the high-frequency 1–4 Hz results allow the resolution of a seasonal dv/v signal superimposed on the negative trend up to the 30–42 s lapse time window. These results likely reflect the medium response that is relatively unbiased by wavefield changes considering reduced scattering length and time scales at higher frequencies (Hillers *et al.* 2013).

The seasonality in the 0.5–2 Hz cc estimates, which are obviously higher for the curvelet-filtered data, is not in phase with the corresponding dv/v estimates (Fig. 4a). Systematic cc variations also

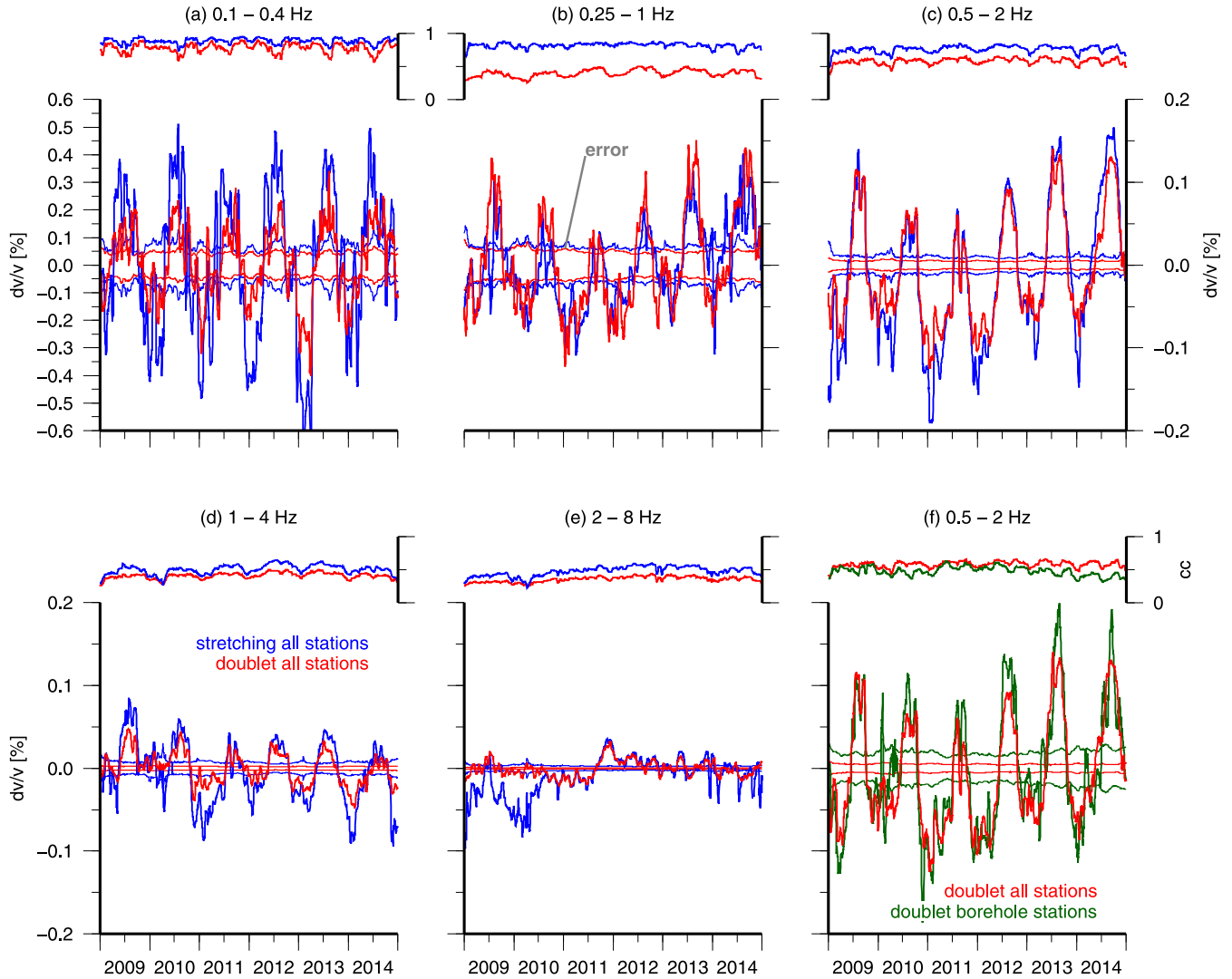


Figure 2. Network-average relative velocity change estimates obtained with the stretching (blue) and doublet (red) method for five frequency bands (a–e) in the early coda lag time window 18–30 s. The horizontal lines indicate associated error estimates. We used a substack window of $w = \pm 10$ d. Red and green data in (f) show 0.5–2 Hz results for all and for borehole stations obtained with the doublet method. Red data in (c) and (f) are the same. Data on top of each panel show the corresponding estimates of waveform similarity. The y-axis tick-interval is identical in all panels to highlight the different scales.

do not correlate with local wind speed and noise amplitude estimates. This suggests a more complex dependence of the governing wavefield properties and shows that the amplitude of the original wavefield is not a one-to-one proxy for coherency obtained with cross-correlation. The seasonal dv/v pattern obtained with borehole data in the 0.5–2 Hz range (Fig. 2f) confirms the notion that the signal in this frequency range is governed by medium changes. Significant, sometimes higher, dv/v amplitudes at depth indicate that the observed velocity changes are not associated with a thin (<10 m) superficial layer or induced by a near-surface effect, but reflect physical changes in at least the top few 100 m. Delay estimates between 0.5 and 2 Hz dv/v time-series at 18–30 s and later windows (Fig. 4b) do not indicate a lapse time dependent shift in the seasonal signal. Excitation effects do therefore not systematically bias the early window results. However, a 2 yr window centred at the time of the August 2011-notch reduces the amplitude of the seasonal effect at later lapse times (blue, red data in Fig. 4b) leading to more unstable delay estimates.

Early window low-frequency results obtained from curvelet-filtered correlations functions are not in phase with the corre-

sponding 0.5–2 Hz dv/v signal (green data in Fig. 4c). In contrast, late lapse time results show a higher consistency with this dv/v signal at least when the analysis window is centred on 2012–2014 (red data in Fig. 4c). This supports the hypothesis that early window 0.1–0.4 Hz results are governed by variations in wavefield properties, and suggests that this bias decays with increased wavefield randomization leading to improved resolution of the medium response. In the following analyses we focus on the 0.5–2 Hz results that exhibit the cleanest dv/v changes and show a good consistency between all used analysis methods.

4 ANALYSIS OF POSSIBLE SOURCE MECHANISMS

Two broad categories can be responsible for the derived seasonal seismic velocity variations. The results can reflect changes in material properties, or may be induced by changes in wavefield properties that bias the measurements. To further exclude the latter possibility we extend the lapse-time analysis and proceed to investigate the

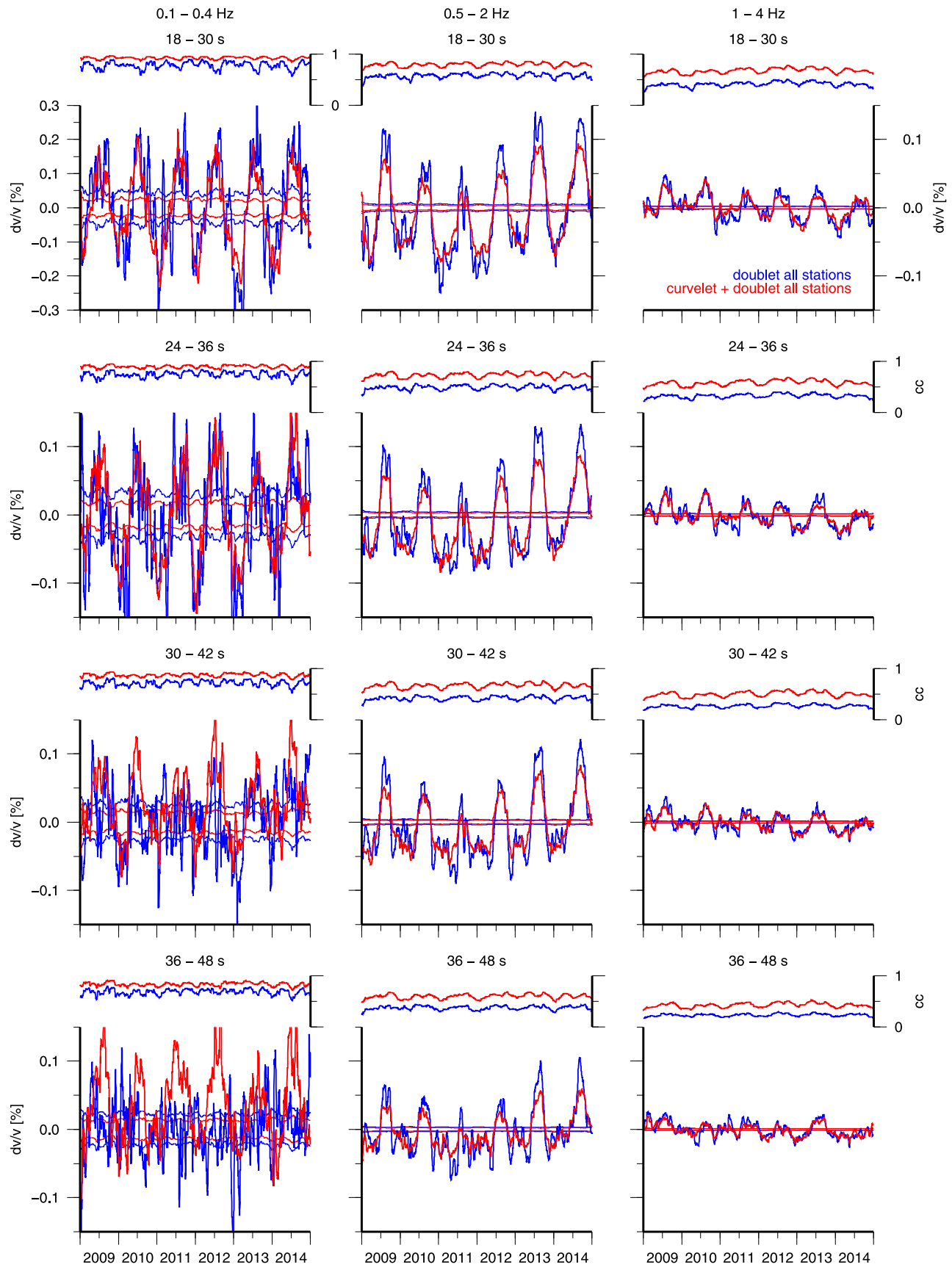


Figure 3. Frequency (left to right) and lapse time (top to bottom) velocity change estimates obtained with the doublet method. Red data correspond to results based on curvelet-filtered correlations. The horizontal lines indicate associated error estimates. Data on top of each panel show the corresponding estimates of waveform similarity. The y-axis tick-interval is identical in all panels to highlight the different scales.

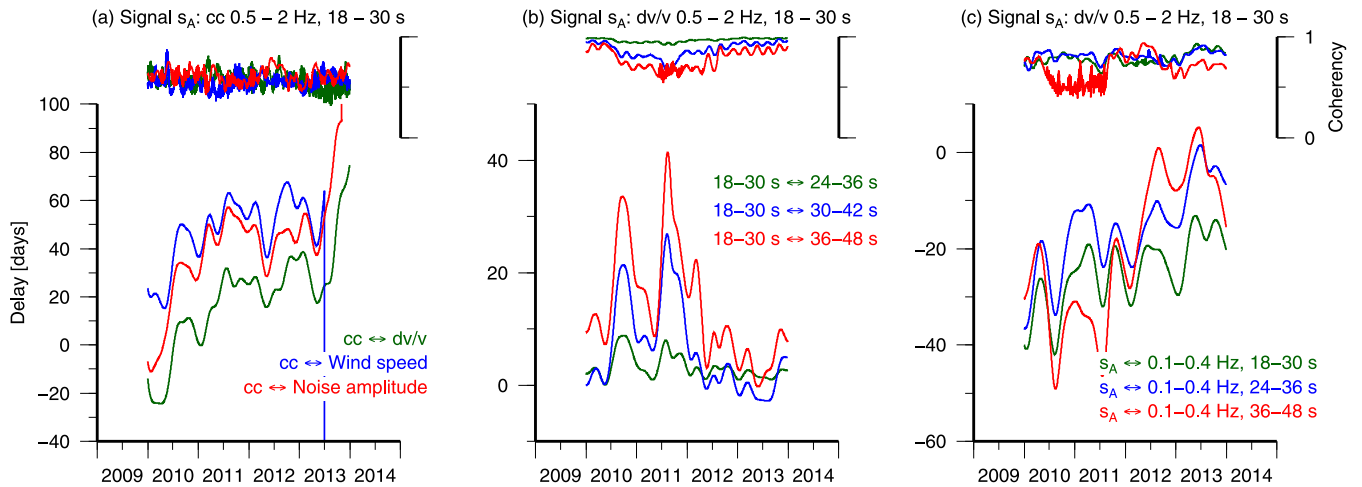


Figure 4. Temporal evolution of delay estimates (daily sampling). (a) Delays between the 0.5–2 Hz, 18–30 s, doublet-cc time-series (signal s_A) and the s_B signals dv/v (0.5–2 Hz, 18–30 s), wind speed and 0.5–2 Hz noise amplitude records. (b) The signal s_A is the dv/v doublet result (0.5–2 Hz, 18–30 s), and signals s_B are the results from later lag time windows. (c) As (b), but s_B are from the 0.1–0.4 Hz range. Here we used the time-series based on curvelet-filtered correlations. Positive delay times imply that signal s_B lags behind signal s_A . The y-axis tick-interval is identical in all panels to highlight the different scales.

delay between the dv/v signal (Fig. 2c) and records of seismic noise properties (Fig. 5), and assess the variability of wave propagation directions (Fig. 6). To identify the dominant driving mechanism of the seasonal seismic wave speed changes, we measure the delay between the dv/v signal and various environmental observables that exhibit annual or sub-annual periodicity. These include atmospheric temperature, barometric pressure, wind speed, precipitation and records of the ground water level (Figs 5 and 7) measured in the area of the used seismic stations. We begin by demonstrating that systematic changes in wavefield properties are not dominating the dv/v signal. We study frequency dependent fluctuations of low-noise amplitudes (Fig. 5) as a proxy for potentially biasing variations (Hadziioannou *et al.* 2011; Zhan *et al.* 2013), and assess systematic changes in wave propagation directions from seasonal patterns of the noise intensity B (Larose *et al.* 2007; Froment *et al.* 2010; Colombi *et al.* 2014).

4.1 Noise amplitudes and wind speed

Following the low-noise level estimate by Hillers *et al.* (2012a), we subdivide a daily vertical component 20 Hz sampled record of each station into 48 segments that are 30 min long and estimate the power spectral density in all segments. This yields daily distributions of noise amplitude at each frequency obtained by the Fourier transform. We chose either some low-quantile (0.01–0.05), median or mean value to represent the frequency-dependent daily spectral noise power at each station. We then take an average value for the five frequency bands for which we compute the dv/v response, and either continue with these values or convert them to decibel (dB). The resulting 2191 daily values per station are scaled by the temporal average, and we finally take the mean at each day from all stations (Figs 5a–c).

In general, the noise amplitude on all instruments is higher between November to May consistent with the generally observed increase in noise energy during northern hemispheric winter. The timing of the oscillations (Fig. 5b) shows that the high-frequency rhythm lags systematically behind the low-frequency variations. The averaged dB amplitude scale (Fig. 5c) emphasizes the effect of the seismicity triggered by the El Mayor-Cucapah earthquake

on high-frequency amplitudes. Aftershock wavefields cause background amplitudes to rise significantly above the annual variability.

Wind speed variations recorded near Anza (Fig. 5d) are a potential local source of noise amplitude changes (Young *et al.* 1994; Withers *et al.* 1996; Young *et al.* 1996; Hillers & Ben-Zion 2011). Wind speed changes are not considered a driving mechanism for physical dv/v changes, but rather a cause for systematic noise excitation that may introduce a bias into estimates of dv/v changes. Approximately neutral delay estimates suggest that high-frequency amplitudes are likely influenced by seasonal wind speed changes (Figs 5e and f) through interactions with topography, vegetation or infrastructure. Negative delays indicate that low-frequency amplitudes increase before the local wind speeds rise. The delay is larger for lower frequencies, and shows that variations in low-frequency noise excited by atmosphere-ocean-lithosphere interactions are driven by non-local dynamics compared to the high-frequency wavefield. Atmospheric patterns affect noise energy in a broad frequency band, through different on- and off-shore excitation mechanisms. The non-linear microseisms excitation mechanism also leads to strongest seasonal variability in the 0.1–0.4 Hz range (Figs 5a and b). This inverse frequency dependence of the variations is consistent between borehole and surface station data (not shown). However, seasonal variations exhibit smaller peak amplitudes at depth, which can partially be explained by the depth dependence of noise amplitude changes on wind speed (Young *et al.* 1994). This is not compatible with the significant dv/v changes at depth (Fig. 2f) under the assumption that velocity changes are spurious and driven by wind-induced wavefield changes.

Delay estimates between the doublet 0.5–2 Hz dv/v results, obtained with and without application of curvelet filters and the corresponding noise amplitudes show that seismic velocities change some 20 d earlier (Fig. 8a). The same holds for the dv/v and wind speed delay (Fig. 8b), where the difference is about 30–40 d. The differential delay of 10–20 d is consistent with the 10–20 d delay measured between amplitude and wind speed (Figs 5e and f). Hence, dv/v and noise amplitudes or wind speed changes do not occur simultaneously. We conclude that the observed seasonal changes of seismic velocities are not associated with spurious measurements induced by systematic changes in amplitude-related wavefield properties that are driven by the wind pattern.

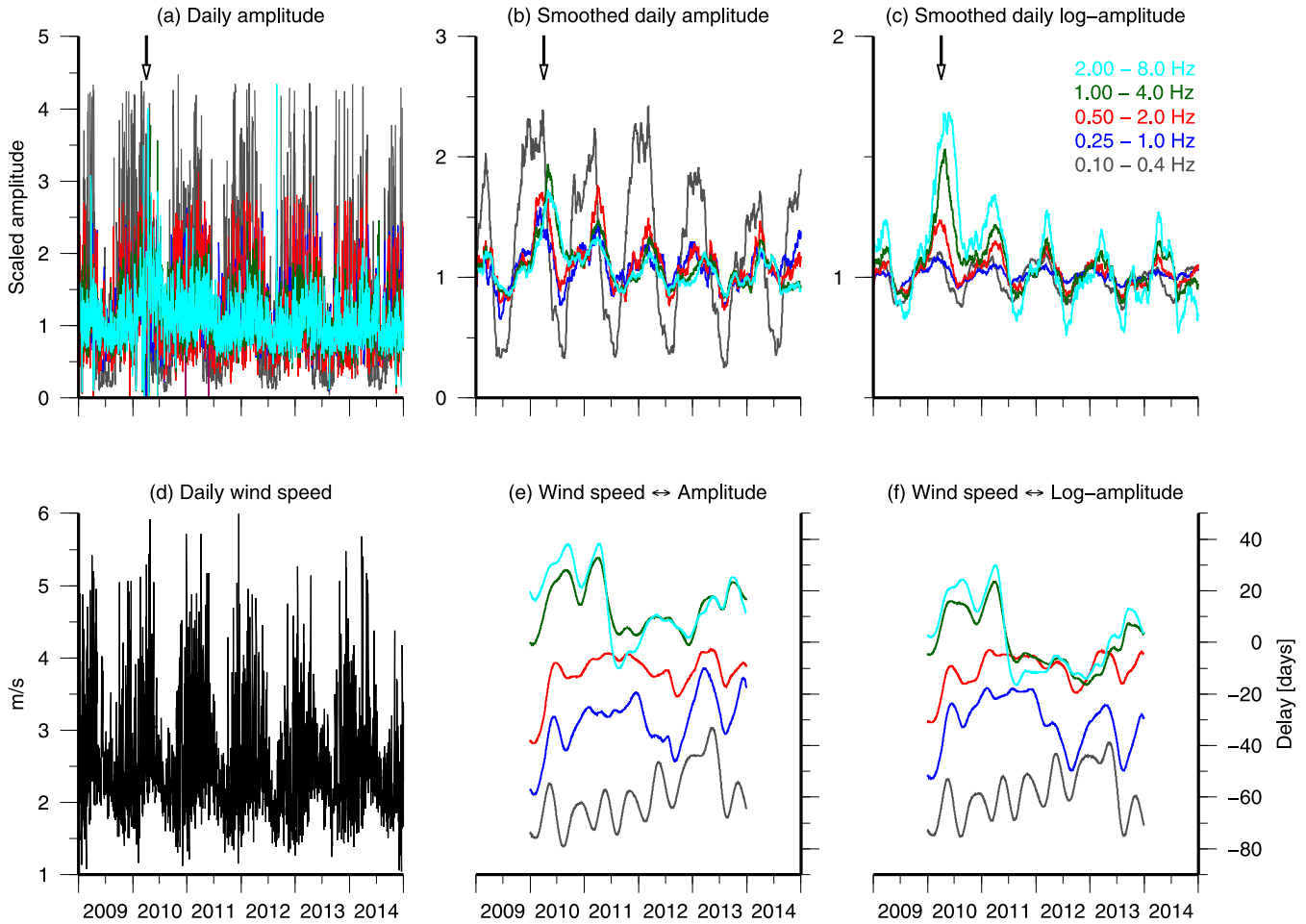


Figure 5. (a) Daily average noise level estimates for five colour coded frequency bands. (b) Smoothed data from (a). (c) Same as (b) from daily amplitude estimates in dB. The arrow indicates the time of the El Mayor-Cucapah earthquake. Smoothing smears the effect of the aftershock seismicity on high-frequency noise amplitudes. (d) Daily wind speed estimates recorded near Anza. (e) Delay estimates between the data in (a) and (d). Negative values mean that signal s_A (wind speed) lags behind signal s_B (amplitude). (f) Delay estimates between dB-scale amplitude data and wind speed data in (d). We used an analysis window of $L = 730$ d.

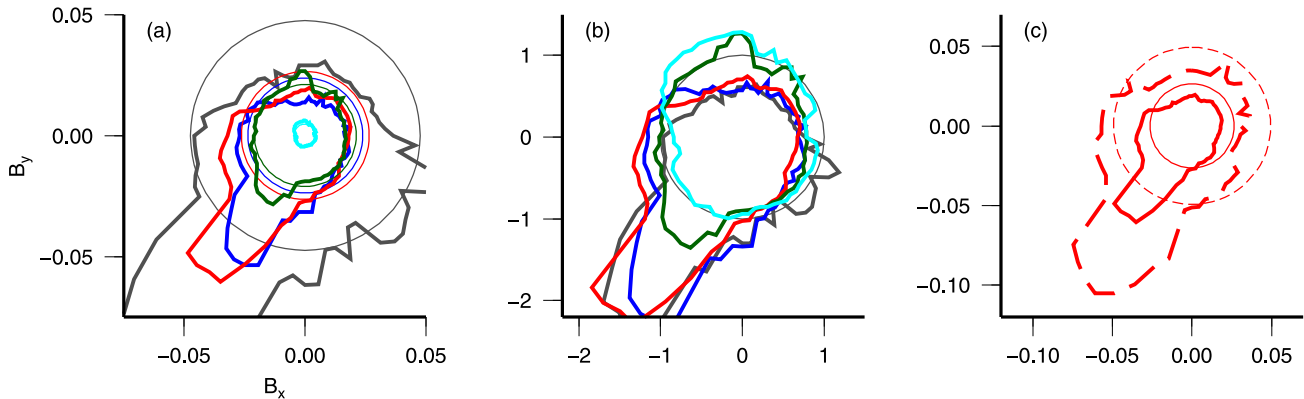


Figure 6. Azimuthal distributions of the noise intensity B (thick lines). Colour indicates frequency as in Fig. 5. (a) Frequency dependent summer B patterns. The circles indicate the average B amplitude. The patterns show an overall decrease of B with frequency. (b) Intensity patterns from (a) scaled by the frequency dependent averages. This representation highlights the increasing randomization of propagation directions with frequency. (c) Comparison of summer (solid lines) and winter (dashed lines) B patterns for the 0.5–2 Hz range.

4.2 Directional noise intensity

Anisotropic distributions of the noise intensity B can bias arrival time estimates (Weaver *et al.* 2009; Froment *et al.* 2010). Systematic changes in the dominant propagation direction of the

wavefield can similarly induce spurious dv/v measurements. This caveat is limited to the wavefield at early lapse times that is governed by a significant ballistic, that is directional component (Colombi *et al.* 2014). Scattering randomizes propagation directions and

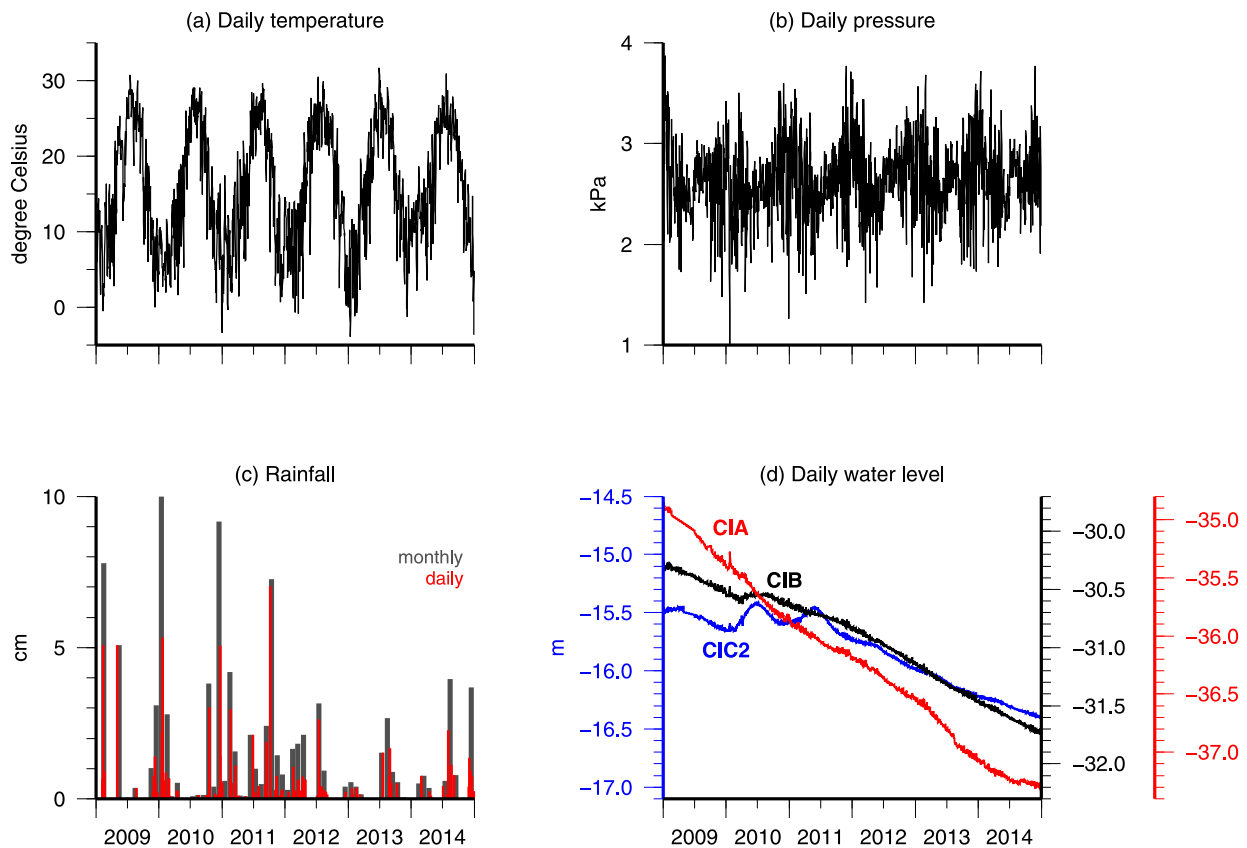


Figure 7. Environmental records obtained at Piñon Flats. (a) Air temperature, (b) atmospheric pressure, (c) precipitation rates, (d) ground water level measured in three boreholes within a distance of ~ 300 m.

increases isotropy towards later coda arrival times. We follow the approach of Hillers *et al.* (2013) and estimate $B(\theta)$, where θ denotes azimuth, from correlation SNR as parametrized by Larose *et al.* (2007). We compute intensity distributions obtained from correlation stacks associated with summer (June–August) and winter (December–February) months.

The patterns in Fig. 6(a) illustrate the overall decay in B with frequency, which can be attributed to increased attenuation and hence a reduction of coherency (the ‘signal’ in the SNR estimates). For the lower three frequency bands, the $B(\theta)$ distributions are peaked in southwest directions, which results from excitation along the coastline (Schulte-Pelkum *et al.* 2004; Tanimoto *et al.* 2006; Gerstoft & Tanimoto 2007; Zhang *et al.* 2009; Hillers *et al.* 2013). In contrast, the wavefield at higher frequencies is characterized by increased isotropy (Fig. 6b), which is a consequence of smaller scattering length scales associated with shorter wavelengths, and a likely different source distribution. The high similarity of summer and winter $B(\theta)$ distributions (Fig. 6c) shows that the noise excitation pattern is stable for frequencies at which the dv/v signal is most significant (0.5–2 Hz). It supports the conclusion drawn from the lapse time analysis that velocity changes are not biased by systematic changes in directional wavefield properties.

We note that the 0.1–0.4 Hz B pattern shows the same behaviour, that is the SNR changes but the azimuthal distribution is constant (Hillers *et al.* 2013). Systematic changes in propagation directions are therefore unlikely to govern the spurious low-frequency dv/v pattern we deduced from the lapse time-dependent delay distributions (Fig. 4). However, seasonal changes in the wavefield composi-

tion, for example, in the relative presence of surface and body waves (Tanimoto *et al.* 2006; Landès *et al.* 2010), potentially also influence or bias early lapse time estimates. Effects of these wavefield changes go beyond the apparent traveltime variations induced by changes in the incidence angle of the dominant energy flux (Weaver *et al.* 2009; Froment *et al.* 2010; Colombi *et al.* 2014).

4.3 Rainfall

Precipitation can induce seismic velocity changes by two mechanisms. First, wave speeds are reduced if water fills open pore space. This affects propagation within shallow layers in which the ground water level (GWL) fluctuates (Sens-Schönfelder & Wegler 2006; Meier *et al.* 2010; Hillers *et al.* 2014). Secondly, the additional load of the water mass can induce pore pressure changes across hydraulically connected regions (Husen *et al.* 2007; Hainzl *et al.* 2013), and change stress and seismic velocities in hydraulically disconnected deeper layers (Bettinelli *et al.* 2008; Obermann *et al.* 2014). Systematic wavefield changes induced by noise excitation associated with run-off patterns (Burtin *et al.* 2008) can be a source of error or bias. In our case, the rainfall recorded in the arid study area is notably sparse, with precipitation exceeding 2 cm at only few days within the 6 yr observation period (Fig. 7c). This is in marked contrast to Sens-Schönfelder & Wegler (2006) and Hillers *et al.* (2014) who observed ~ 2 and ~ 0.2 per cent dv/v changes due to precipitation characterized by longer, more steady duration and significantly higher rates. Moreover, collocated GWL observations (Fig. 7d) do not indicate a consistent response of the subsurface

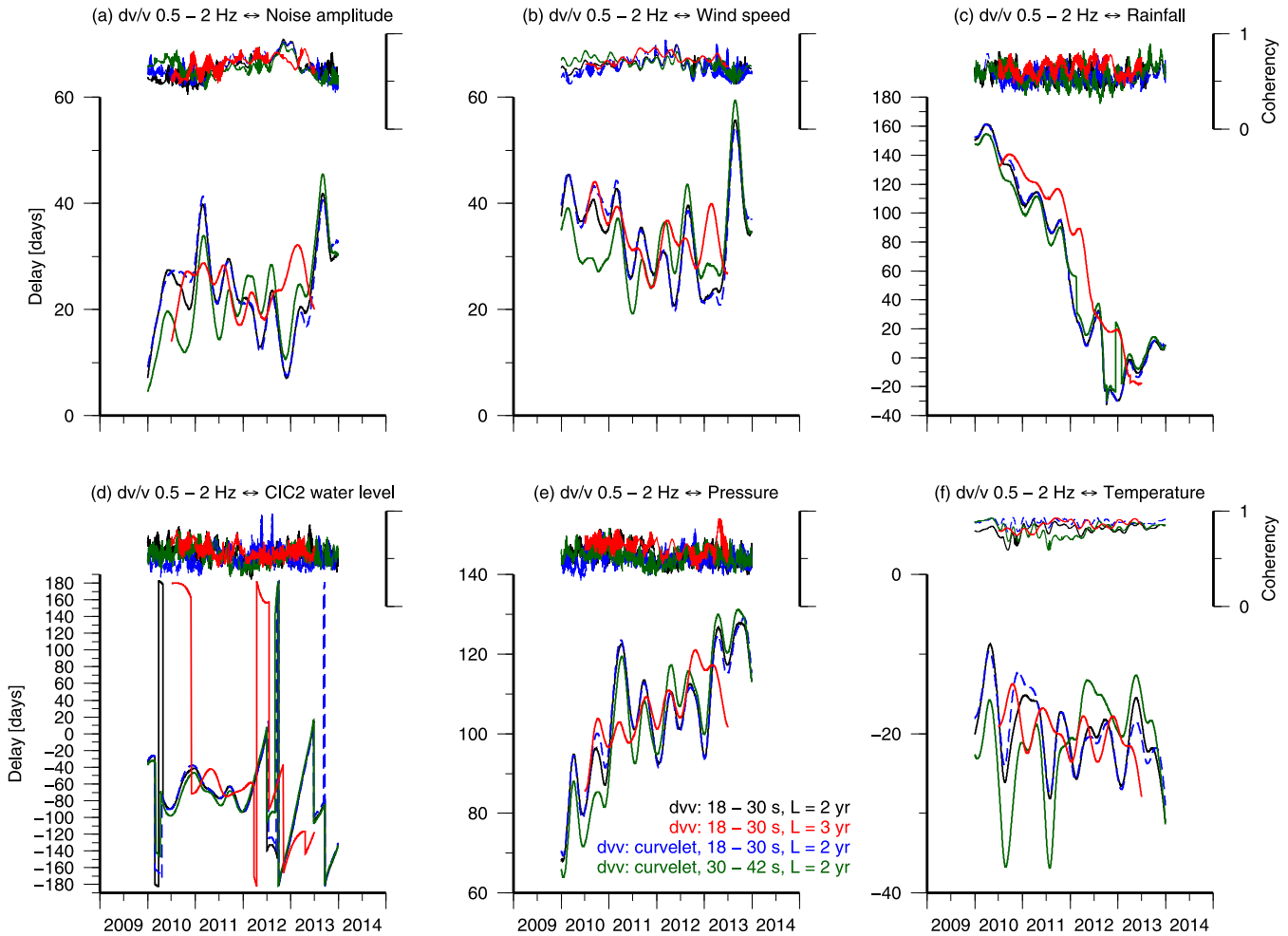


Figure 8. Temporal evolution of delay estimates (daily sampling). The four colour-coded signals s_A are dv/v estimates obtained with the doublet method at 0.5–2 Hz and 18–30 s and with different processing parameters. The green data corresponds to dv/v time-series obtained in a later lapse time window. Signals s_B are records of (a) noise amplitudes in the 0.5–2 Hz band, (b) wind speed, (c) rainfall, (d) well level data, (e) atmospheric pressure, (f) temperature. Positive delay times imply that signal s_B lags behind signal s_A . The y-axis tick-interval is identical in all panels to highlight the different scales.

hydraulic situation to large rainfall events that visually exceeds the amplitude of the long-term trends. Delay distributions between the rain and dv/v records (Fig. 8c) imply a coincidence (no delay) only towards the end of the observation period. However, the amount of rainfall in 2013 and 2014 is lower compared to the years 2009–2012 (Fig. 7c), when large delay times suggest no causal relation. We conclude that the seasonal dv/v variations are not governed by the rainfall pattern.

4.4 Ground water level

Observations of the GWL allow a more direct assessment of the effect of subsurface fluid distributions on seismic wave speeds (Grêt *et al.* 2006) compared to diffusion models resting on precipitation patterns (Sens-Schönfelder & Wegler 2006). The three GWL records observed at Piñon Flats within 300 m (Fig. 7d) have persistent trends related to ground water depletion, superimposed with seasonal variations that are largest at well CIC2 and peak around May/June in 2010 and 2011. This seasonal pattern is unlikely to cause the observed dv/v signal for two reasons. First, an increase in the GWL is expected to reduce wave speeds in the considered depth range (Sens-Schönfelder & Wegler 2006; Hillers *et al.* 2014), which is opposite to what is observed during summer months (Fig. 2). Sec-

ondly, the dv/v changes peak between July and October and are not in phase with the GWL variations. Stable delay estimates are found only for the years 2010 and 2011 (Fig. 8d), and the ~ 70 d estimates confirm this non-simultaneity. Finally, the lack of seasonal GWL changes in the other two colocated wells, and for later years in CIC2, indicates that the seasonal velocity changes are not dominantly influenced by the GWL.

4.5 Atmospheric pressure

Changes in the atmospheric pressure field (Fig. 7b) can cause changes in seismic wave speeds due to variable loads exerted on the surface (Gao *et al.* 2000; Silver *et al.* 2007; Niu *et al.* 2008). The pressure-induced stress variations propagate with the shear wave speed, leading to near instantaneous interactions. Hence, the non-zero delay distributions (Fig. 8e) indicate that the variability of the barometric pressure field is not driving the observed seasonal velocity changes.

4.6 Atmospheric temperature

Temporal variations in the atmospheric temperature are dominated by an annual period (Fig. 7a). Estimating the delay between the

0.5 and 2 Hz dv/v variations and air temperature records (Fig. 8f) indicates that changes in seismic velocities lag consistently behind annual variations of the temperature field. The estimated delays follow a distribution that is centred at 20 d. A mechanism that produces strains and hence velocity variations that lag behind the temperature variations is thermoelastic strain.

Spatial variations of the surface temperature field and/or thermal properties of the heated material produce thermoelastic strain that can propagate to depth with appreciable amplitude. Simple calculations using observed temperature changes and realistic parameters for the wavelength of the temperature field and material parameters indicate stress levels over 10 kPa in the top kilometer of the crust (Ben-Zion & Allam 2013). Temperature variations can also affect local wave velocities by a temperature dependent change of the elastic moduli in an otherwise unstressed body. Berger (1975) provided a solution for thermoelastic strain in a homogeneous elastic halfspace due to a surface temperature field characterized by a simple wave function. An important aspect of the solution is that the thermoelastic strain is delayed by $T/8$ from the source temperature field with T being the wave period (Tsai 2011). For the annual changes that are the focus of this study, $T/8 \approx 40\text{--}45$ d. Accounting for the very shallow material, and using sensitivity kernels to estimate the delay between dv/v and seasonal temperature variations, lead to ~ 40 d (Richter *et al.* 2014). Ben-Zion & Leary (1986) modified the solution of Berger (1975) by adding a surface layer that is elastically decoupled from the halfspace, to account for shape differences and additional delays between observed strain records and halfspace model predictions. The solution was shown to predict correctly from atmospheric temperature data the observed frequencies, phases and amplitudes of strain data recorded at depths varying from 10 m to >300 m (Ben-Zion & Leary 1986; Ben-Zion & Allam 2013).

The velocity changes observed below 1 Hz (Fig. 2b) imply that the analysed surface waves are sensitive to a depth regime below the top unconsolidated (soil) layer, where the thermoelastic strain and stress may induce changes in the wave speed. This notion is supported by the significant dv/v amplitudes resolved with borehole data. The average 20 d delay between dv/v variations and temperature records is somewhat shorter than the expected ~ 40 d for a halfspace. Nevertheless, the only mechanism that has a plausible lag from the observed dv/v signals is atmospheric-induced thermoelastic strain, so we infer this to be the dominant source of the observed velocity changes. This interpretation is supported by the coherency between the dv/v and the temperature records, which is significantly and consistently higher compared to the coherency between the dv/v and all other considered records. The temporal delay evolution obtained from dv/v data at early lapse times shows a trend from smaller (absolute) to higher estimates. The associated slope is smaller compared to other delay trends, yet it is another manifestation of multiple, simultaneously acting mechanisms influencing our dv/v measurements. Results associated with later lapse time windows (green data in Fig. 8) show again a different response for the first half of the analysis period, which are perhaps related to effects associated with the El Mayor-Cucapah earthquake. Other factors contributing to the observed phase lag that is at the low bound of what is expected from the thermoelastic model are the level of uncertainty (Fig. 2b), averaging over different positions in the local source field, and effects that operate on shorter time scales including potential wavefield changes.

5 DISCUSSION

Analysis of 6 yr ambient seismic noise recorded near the San Jacinto fault zone in southern California resolves seasonal variations of subsurface seismic velocities (dv/v). The strongest and most consistent signal is obtained using the correlation coda wavefield at early lapse times in the 0.5–2 Hz frequency range. The associated results are supported by data from curvelet-filtered correlations and data from later lapse times. The dv/v records in this frequency range are compared with noise amplitude estimates, nearby environmental data of wind speed, rain, ground water level, barometric pressure and atmospheric temperature to clarify the cause(s) of the observed velocity changes.

The possibility that seasonal dv/v variations are spurious or systematically biased by wavefield changes is reduced by the non-zero delay between records of dv/v and noise amplitude, and the stability of the noise intensity pattern. However, seasonal wavefield changes associated with systematic variations in the excitation pattern (Tanimoto *et al.* 2006) and transient changes associated with local and regional seismic activity potentially bias the dv/v estimate, or reduce the data quality. The sparsity of rainfall and the inconsistency between water level data and dv/v measurements rule out governing hydrologic effects. Delay statistics further indicate that the barometric pressure variations are not the dominant source. The delay between the dv/v variations and air temperature implies that thermoelastic strain is the most plausible primary mechanism for the observed seasonal variations of seismic velocities.

The delay distributions on which these conclusions are based (Fig. 8) were primarily obtained with an analysis window $L = 730$ d. These delay statistics are overall insensitive to different choices of the correlation-stack window length w , the coda lag time window, and the length of L exceeding 1 yr. Cross-spectra $C_{A,B}$ obtained between the dv/v signal and all examined amplitude and environmental time-series are characterized by a peak annual periodicity, that is, the obtained delay distributions reflect differences associated with the seasonal component. The temporal evolution of the delay estimates, for example, the (absolute) delay increase between the dv/v and temperature signals with time, implies simultaneously acting processes that are either associated with internal mechanisms such as the local response to earthquakes (Agnew & Wyatt 2014) or with transient changes of wavefield properties. Reducing L to sub-annual length (e.g. $L = 183$ d), and constraining delay measurements to time windows that are dominated by a semi-annual periodicity, modifies the resulting delay distributions (not shown). Most notably, the dv/v -temperature delay range becomes significantly larger, albeit at significantly reduced coherency estimates, also including zero-delay. This implies that mechanisms other than thermoelastic strain can influence seismic velocity changes, and that thermal processes can also operate on subannual timescales (Ben-Zion & Leary 1986; Richter *et al.* 2014).

The results indicate that the seasonal dv/v variations at 0.5–2 Hz reflect changes of rock properties induced primarily by thermoelastic strain rather than being governed by seasonal changes in wavefield properties. To be sure, the other considered source mechanisms contribute to the resolved velocity changes, leading to estimated delay times between dv/v and temperature that is smaller than what is expected from a pure thermoelastic strain effect (Berger 1975). The zero-delay obtained from $C_{A,B}$ at subannual periods using noise amplitude estimates (not shown) indicates that these mechanisms can be relevant on shorter timescales either through biasing the estimates, or by interfering with the thermal deformation effects.

The observed delay between dv/v measurements and temperature data involve averaging over the study area and possible smearing effect caused by different positions of stations in the local source field. Previously reported observations of thermoelastic deformation compatible with a model of a halfspace covered by a thin unconsolidated ‘equivalent upper layer’ were obtained with point-like measurements from individual strainmeters (Ben-Zion & Leary 1986; Prawirodirdjo *et al.* 2006; Ben-Zion & Allam 2013). In these studies, the phases of the strain synthetics were not sensitive to the station position in the temperature field. In our case with stations separated by tens of kilometres in a region with variable topography and strong changes of elastic properties (Allam & Ben-Zion 2012; Zigone *et al.* 2015), the thermoelastic strain sampled by the analysed scattered correlation wavefield must have some spatiotemporal variations. Averaging measurements associated with heterogeneous deformation can weaken cleaner signals resolving temporal changes in smaller regions.

The seasonality and the long-term trends in the 0.25–1 Hz dv/v records are compatible with the 0.5–2 Hz results. The large annual dv/v signal observed at yet lower frequencies (0.1–0.4 Hz) contains basing components that are possibly associated with seasonally variable constituents of the microseisms wavefield (Tanimoto *et al.* 2006; Gerstoft & Tanimoto 2007; Landès *et al.* 2010; Hillers *et al.* 2013). The associated effect on dv/v estimates goes beyond apparent arrival time changes induced by variations in the dominant propagation directions (Froment *et al.* 2010; Colombi *et al.* 2014). These spurious components decay with increasing lapse time and allow improved resolution of seasonal medium changes, but only after filtering the correlation coda wavefields. At 1–4 Hz, the amplitude of the seasonal signal is reduced compared to the 0.5–2 Hz case, and the long-term trend is only negative for the observation period. Results at 2–8 Hz are also characterized by high consistency between different analysis techniques, show also an overall negative trend, but no annual periodicity in the dv/v response. Data recorded at closely spaced stations (Lin *et al.* 2013; Hand 2014; Hillers *et al.* 2015a; Ben-Zion *et al.* 2015) may allow resolving seasonal and other variations at these and higher frequencies.

Neglecting source related biases, the peak-to-peak amplitude of the observed dv/v variations is mainly controlled by two elements. The first is the stress or strain sensitivity S , which depends on the elastic medium properties that control the actual velocity change in response to deformation. The second is the ability to resolve these wave speed changes from arrival time changes in the coda wavefield; this wavefield sensitivity varies for surface and body waves and also with lapse time (Sens-Schönfelder & Wegler 2011; Froment *et al.* 2013; Obermann *et al.* 2013). Whereas S can be thought to decrease with depth in response to the increase in confining stress, changes in the wavefield sensitivity depend on the wavefield constituents. That is, the sensitivity of surface and body waves is different and varies nonlinearly with depth. Assuming the relative variation of S in the depth range sampled by the wavefield to be small, the frequency dependent dv/v peak-to-peak amplitude decrease suggests a larger wavefield sensitivity at low frequencies compared to high frequencies. The noise wavefield up to 1 Hz is certainly dominated by surface waves considering the nearby excitation along the coastline. Results in the late lapse time window (36–48 s) are still dominated by surface wave sensitivity in the low-frequency range. This follows from scattering mean free times of $t^* = 20$ –40 s obtained from a regional scattering mean free path of 50–100 km around wave periods of 5 s (Hillers *et al.* 2013) and Rayleigh wave speeds between 2.5–3 km s^{−1} (Zigone *et al.* 2015). Hence, $\tau/t^* < 6$ at which decreasing surface and increasing body

wave sensitivity are equally partitioned (Obermann *et al.* 2013). The dv/v amplitude decrease with lapse time at 0.5–2 Hz is hence not necessarily governed by the corresponding decrease in wave-form coherency. It can also be associated with the increasing time a scattered phase spent as body wave in a depth range where the stress sensitivity and/or the wavefield sensitivity is lower. Results in the 1–4 Hz band are also likely dominated by surface waves in the considered lapse time range, even if we allow for decreased scattering timescales. However, the ratio of dv/v peak amplitude to the error estimates is generally frequency independent, which implies that the smaller seasonal velocity change at high frequencies is equally well resolved. In conclusion, our analysis shows that seasonal velocity changes are not limited to the top tens of metres, but are likely distributed in the first few hundreds of metres. A more accurate depth estimate of the surface wave peak sensitivity can in principle be derived from local velocity models (Hillers *et al.* 2013; Zigone *et al.* 2015), but these models usually lack accuracy in the shallow parts that govern the kernels at the high frequencies used here.

The observed velocity change estimates are within the range of previously reported variations in response to various external and internal driving mechanisms (Reasenber & Aki 1974; Sens-Schönfelder & Wegler 2006; Niu *et al.* 2008; Meier *et al.* 2010; Hobiger *et al.* 2012; Hillers *et al.* 2014). Focusing on passive methods, this range to date is limited by the observed ~ 0.03 and ~ 2 per cent changes in response to tides and precipitation, respectively (Sens-Schönfelder & Wegler 2006; Hillers *et al.* 2015a), yet reaches up to 7 per cent prior to a landslide failure (Mainsant *et al.* 2012). Improving the sensitivity of dv/v measurements and separating contributions to observed dv/v changes from often simultaneously acting mechanisms has become a growing concern that is fueled by the development of passive monitoring techniques. Sensitivity can be improved using filter designs to suppress unwanted wavefield fluctuations (Baig *et al.* 2009; Hadziioannou *et al.* 2011; Gallot *et al.* 2011), as demonstrated here by the increase in SNR after application of curvelet-filtering (Stehly *et al.* 2011). Targeting the separation of different forcing effects, subdaily window stacking can be an advantage when focusing on daily components of the variations (Richter *et al.* 2014; Hillers *et al.* 2015a). Fits to observed dv/v records can help isolating external from internal contributions (Hobiger *et al.* 2012; Richter *et al.* 2014), and continuous velocity change time-series can generally be improved by treating datum-wise dv/v errors probabilistic (Brenquiere *et al.* 2014). Responses to external loadings can be used for designing filters for removing these signals and improving records that reflect internal tectonic sources.

A further in-depth analysis of the material response to different source mechanisms using these methods is beyond the scope of this work, but we can estimate the first order velocity change sensitivity $S = dv/(v\epsilon)$ assuming the dv/v signal is governed by thermoelastic (volumetric) strain (ϵ). Using Berger’s solution, Ben-Zion & Allam (2013) estimated thermoelastic areal strain at 200 m depth in the range 1 – 10×10^{-7} , depending on used thermal and elastic model parameters. Hence, S is approximately between 10^3 and 10^4 , which is consistent with values inferred for responses to crustal earthquakes (Wegler *et al.* 2009), changes at mid-crustal depth due to slow inelastic deformation on a subduction interface (Rivet *et al.* 2011), magma intrusion into a volcanic edifice (Ueno *et al.* 2012), and the shallow response to tidal strain (Hillers *et al.* 2015a). Assuming a bulk modulus of 10^4 MPa, $S = 5 \times 10^3$ translates to a velocity stress sensitivity of ~ 0.5 MPa^{−1}, which is again within the range of values observed in response to tidal loading (Yamamura *et al.* 2003).

Seasonal thermoelastic strain can change the seismic velocities of crustal materials similar to a variety of other external and internal forcing mechanisms. Separating these contributions, using longer data and more detailed analysis techniques in combination with better information on depth sensitivities of the scattered wavefield, can help tracking the response of subsurface rocks to different mechanisms. This in turn can provide constraints on the evolving stress and effective rheology at depth.

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